



Control over Noisy Communication Media

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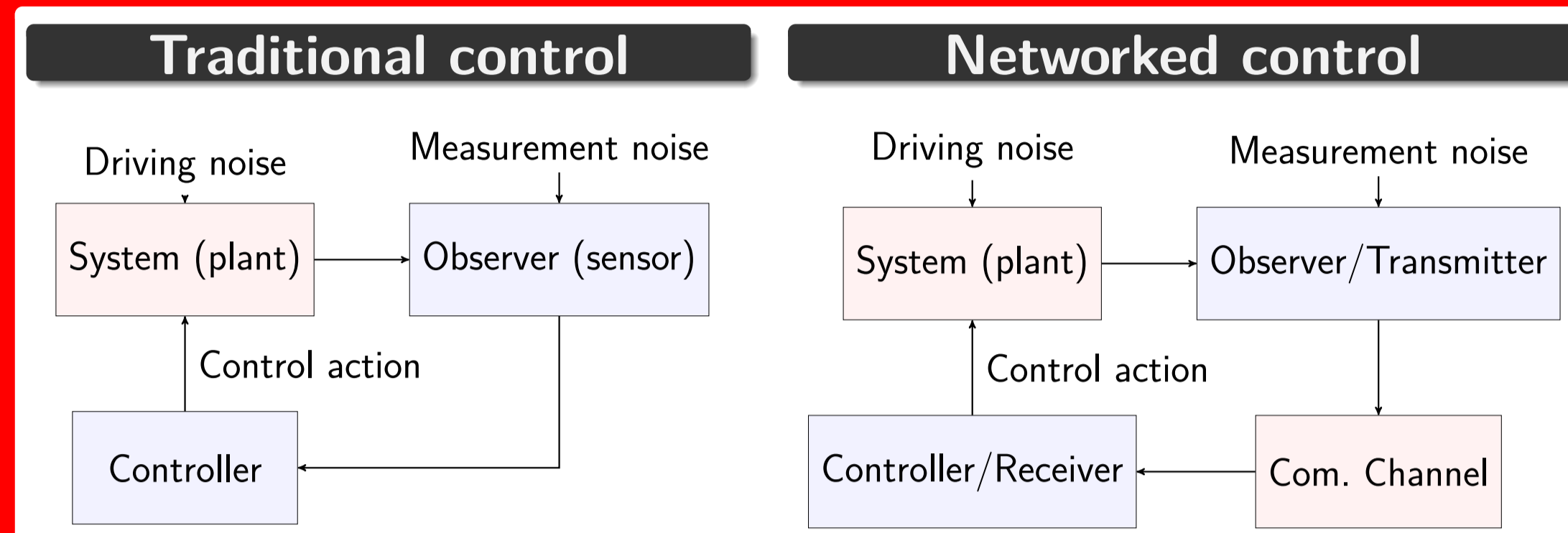
December 14, 2017

Conference on Decisions and Control (CDC)

Melbourne, VIC, Australia

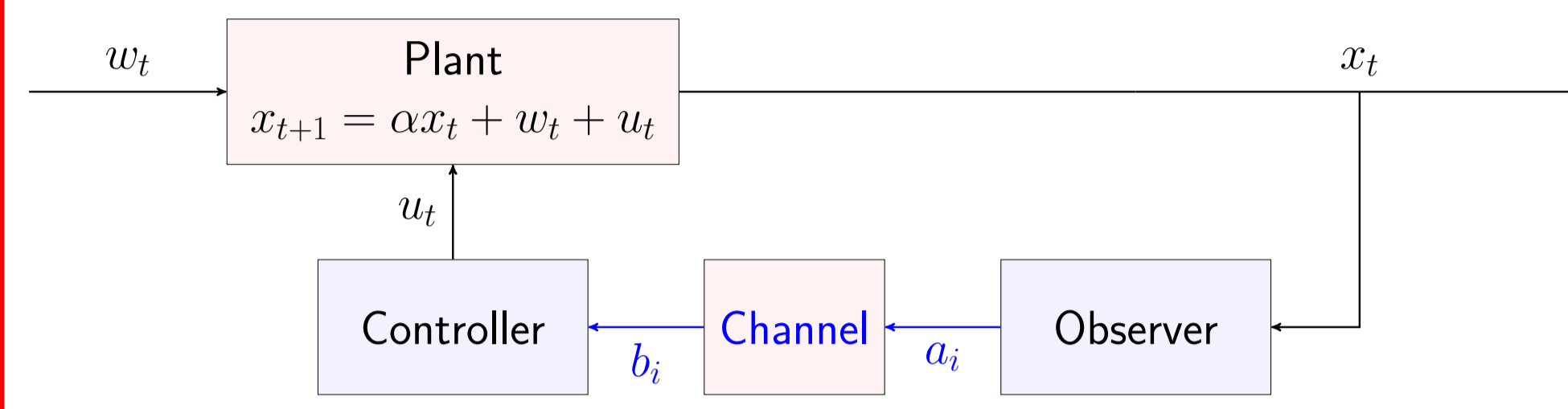
Networked Control Setting and Approaches

Traditional versus Networked Control



Linear Quadratic Gaussian Control over Noisy Channels

$$x_{t+1} = \alpha x_t + u_t + w_t, \quad w_t \sim \text{i.i.d. } \mathcal{N}(0, W), \quad |\alpha| > 1$$



$$\text{LQG cost: } \bar{J}_T = \mathbb{E} \left[\sum_{t=1}^{T-1} (Q_t x_t^2 + R_t u_t^2) + Q_T x_T^2 \right]$$

LQG Control over Gaussian Channels

Control rate \neq Communication rate!

- ▶ How fast the plant dynamic is \Rightarrow Control sampling rate
- ▶ Bandwidth available \Rightarrow Communication signaling rate
- ▶ Communication rate can be much higher in practice
- ▶ Assume N channel uses per one control sample

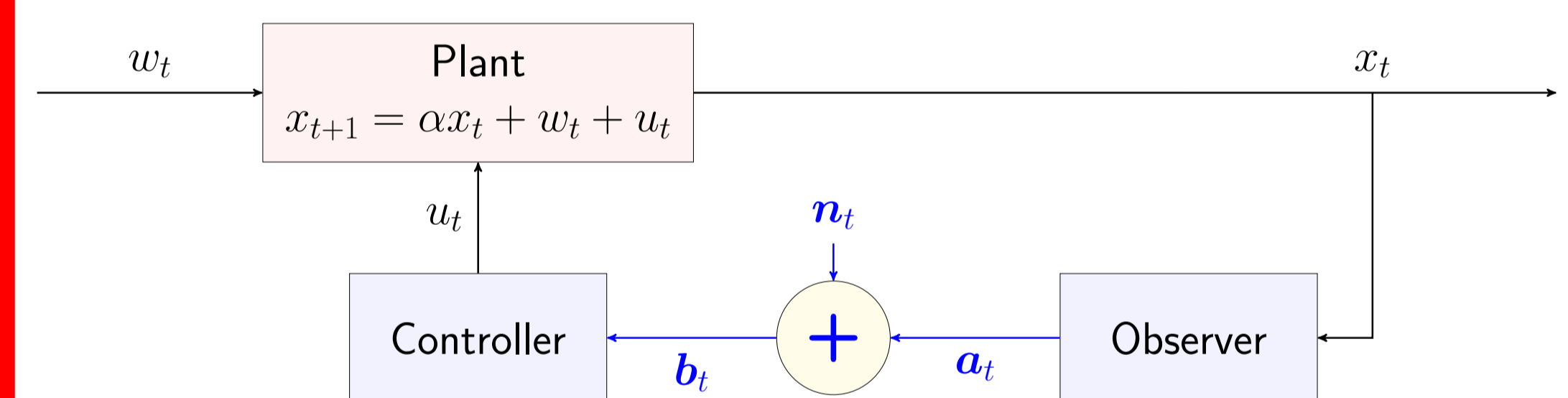
Scalar LQG system

$$x_{t+1} = \alpha x_t + u_t + w_t$$

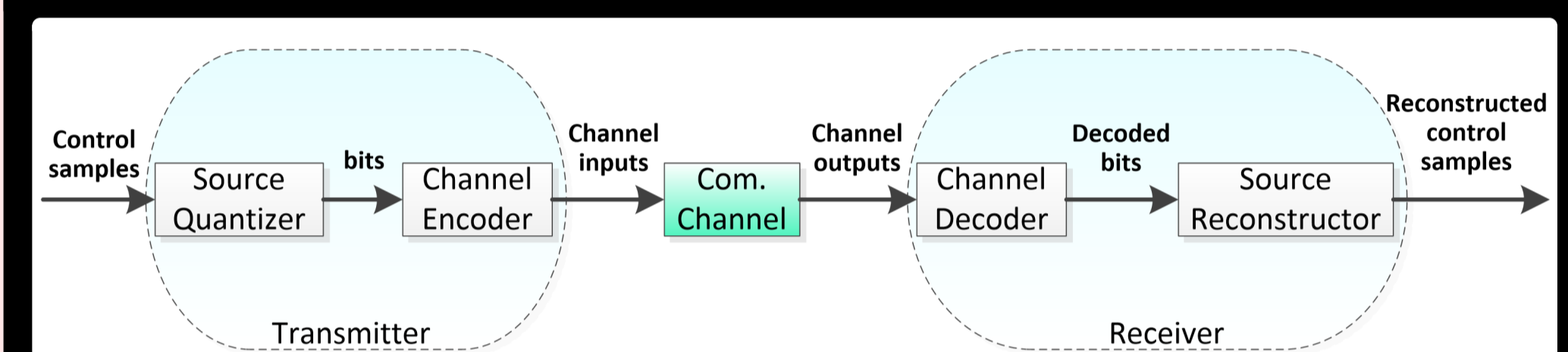
Scalar Gaussian channel

$$b_t = a_t (x_t, u^{t-1}) + n_t \quad \updownarrow N$$

Power constraint: $\mathbb{E}[a_t^2] \leq NP$



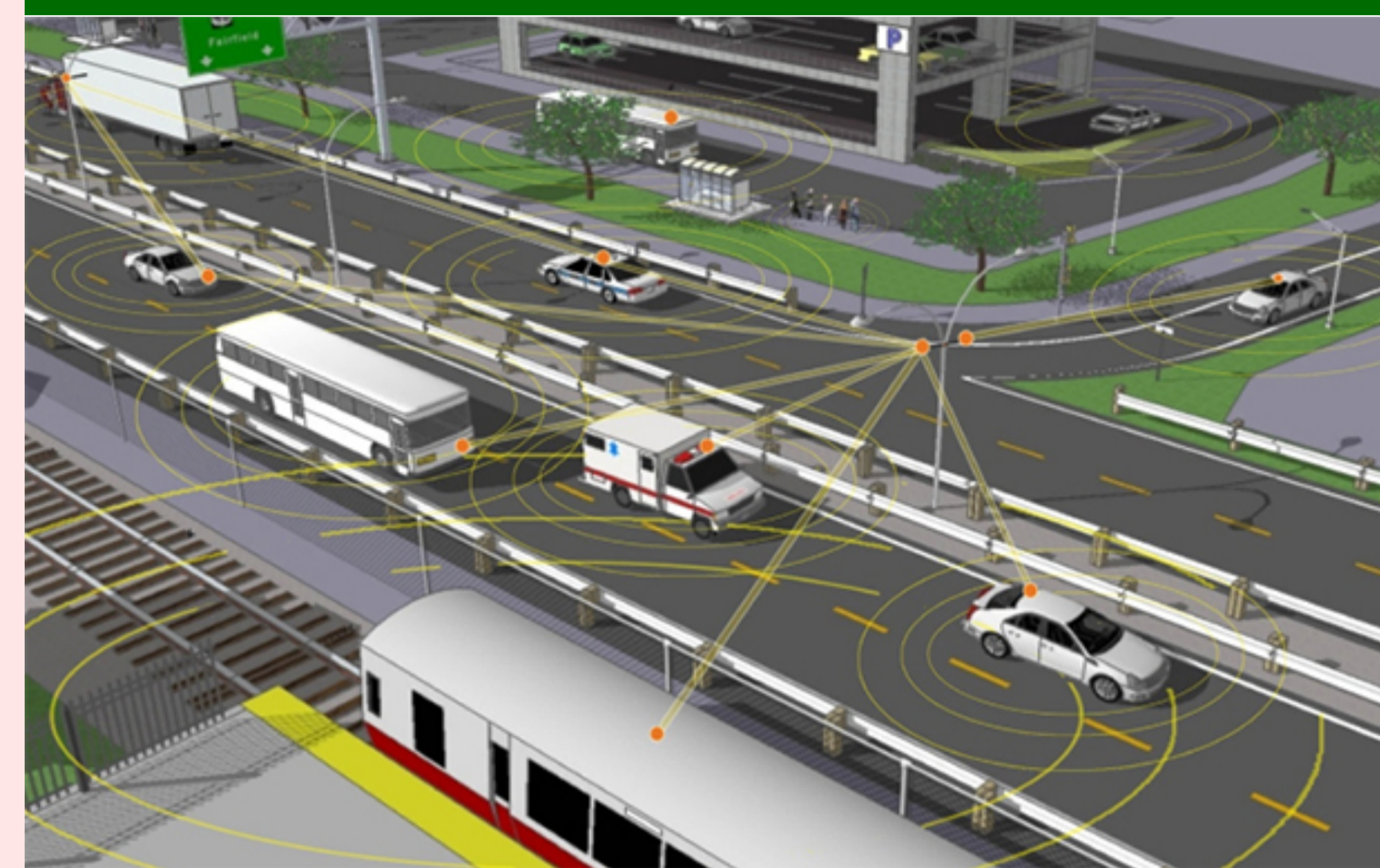
Source-Channel Separation and Analog Codes



- Com. is broken into two tasks \rightarrow Bits serve as an interface:
- 1) Quantization: Batch of control samples \rightarrow Block of bits
 - 2) Channel coding: Block of bits \rightarrow Batch of channel inputs
- ▶ Breaks down design & analysis tasks into two simpler tasks
 - ▶ Optimal when block lengths (=delay!) go to infinity
 - ▶ **Suboptimal for control!**
 - ▶ **Better alternative:** Analog maps (no going through bits)

Applications: Cyber-Physical Systems and the Internet of Things

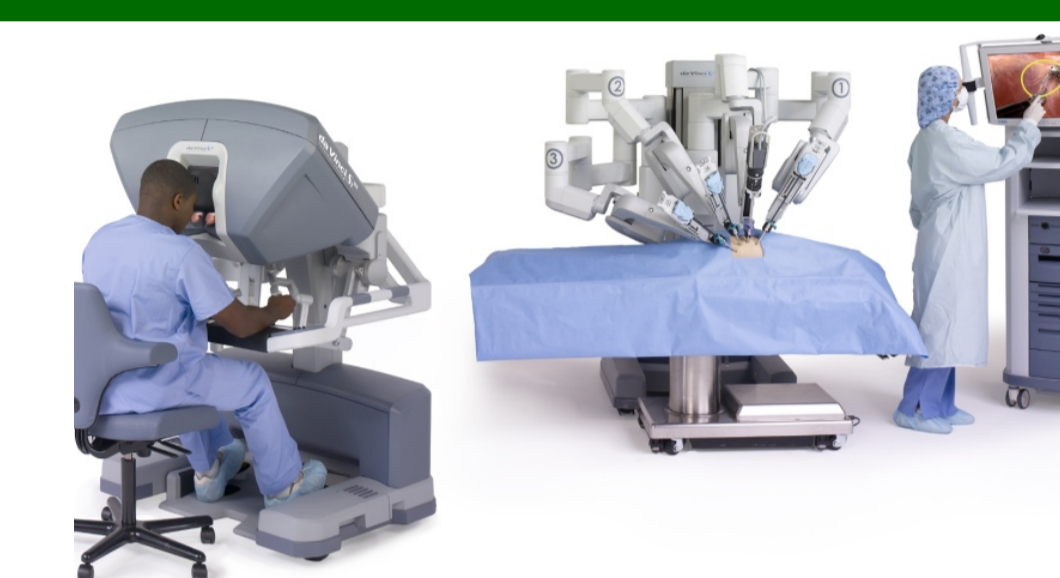
Self-Driving Cars



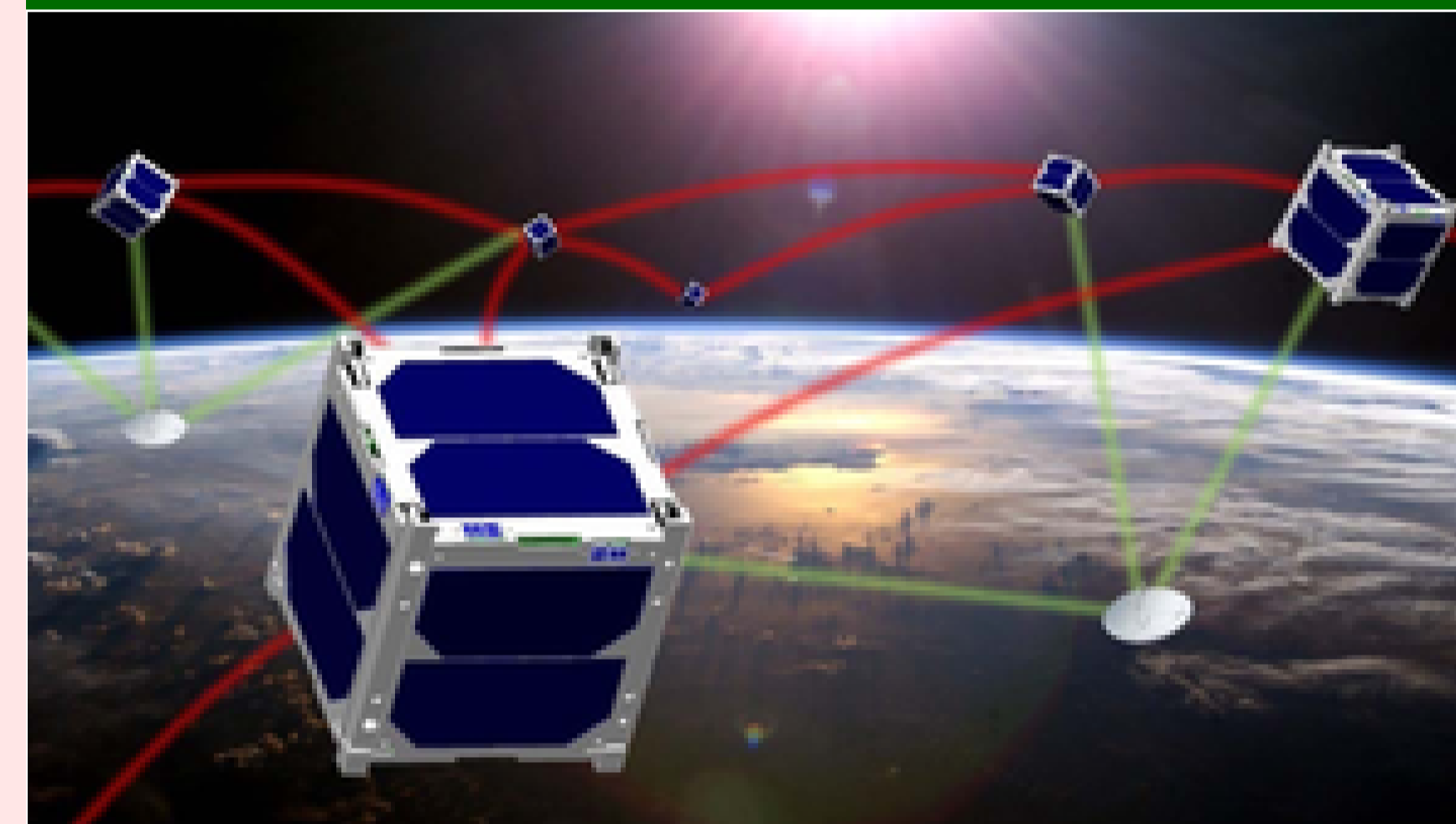
Internet of Things



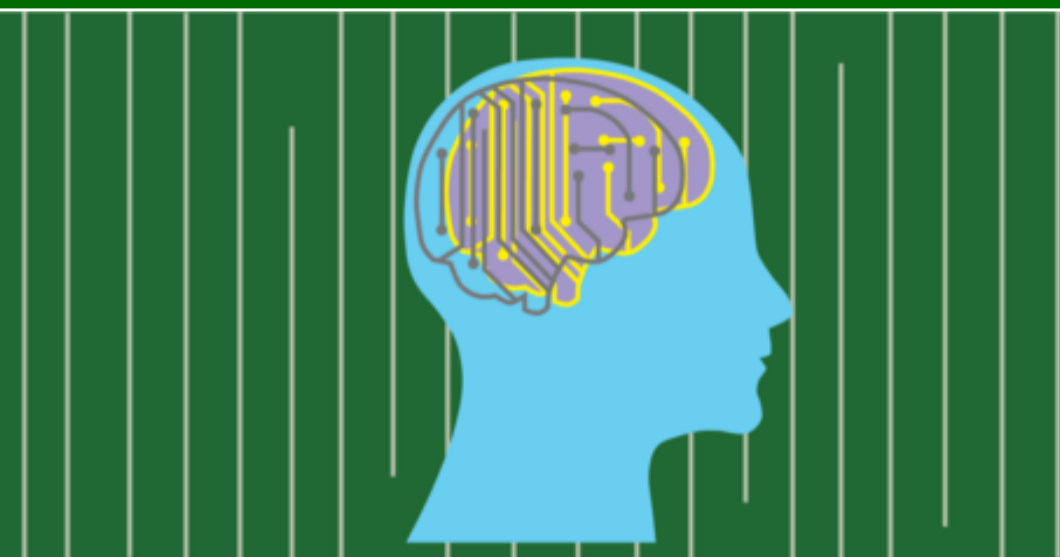
Remote Surgery



Small Satellites



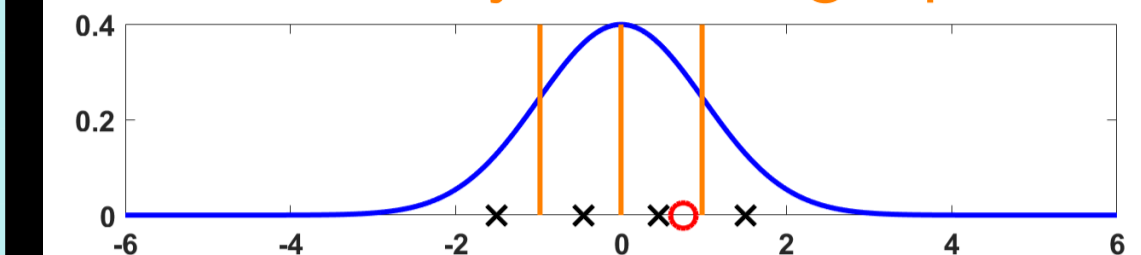
Neuroscience



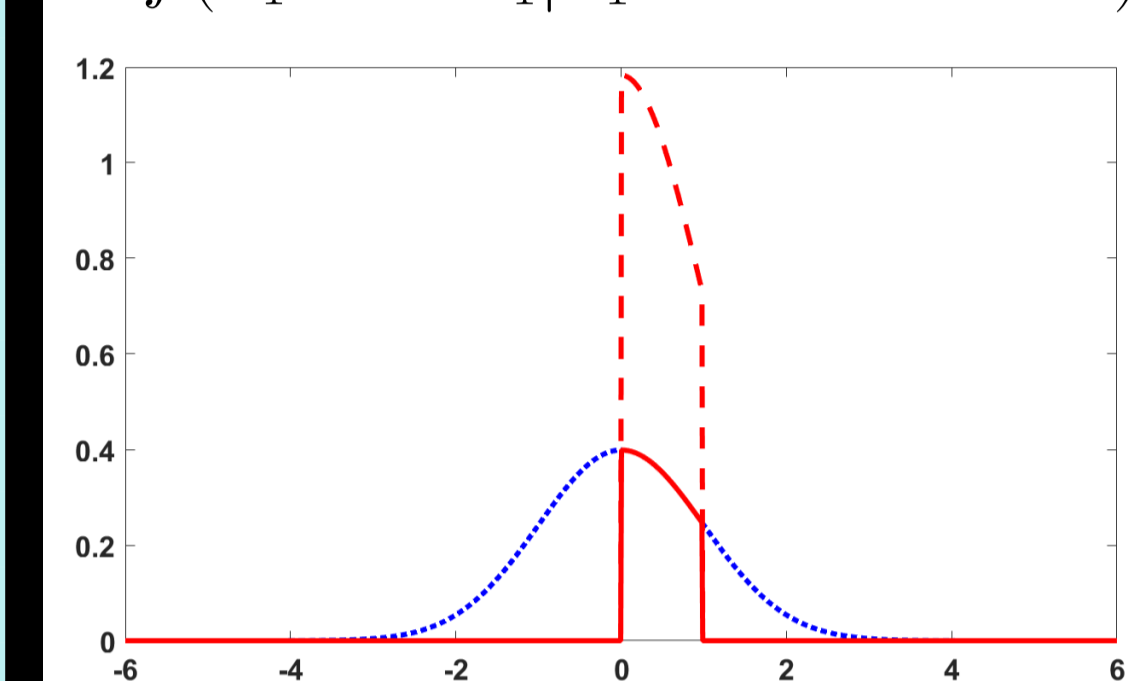
Control over a Bit-Pipe

Channel = Finite-rate noiseless

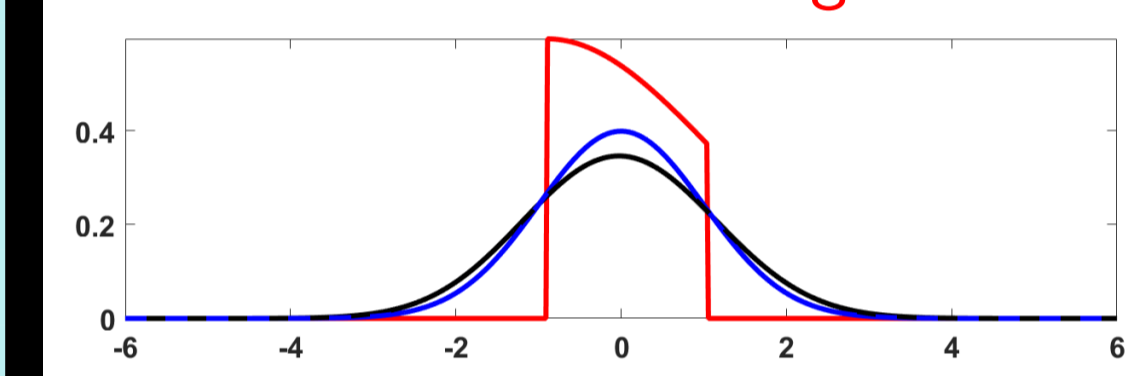
▶ Log-concave PDF \searrow
Lloyd-Max Alg. optimal!



▶ $f(x_1 - \hat{x}_1 | x_1 \in \text{cell } i)$



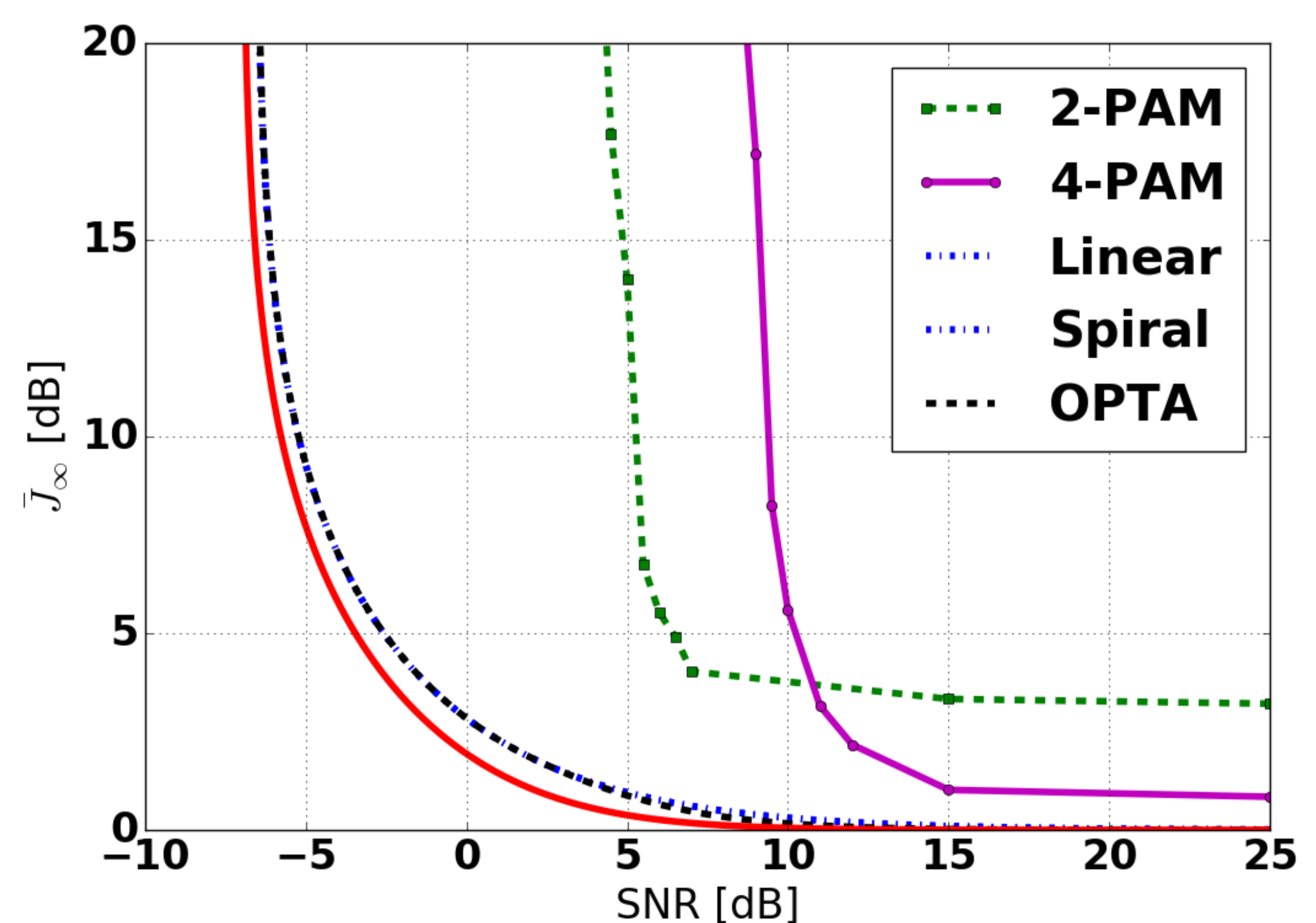
▶ $\alpha(x_1 - \hat{x}_1) + w_1$
Convolution of log-concave PDFs = log-concave!



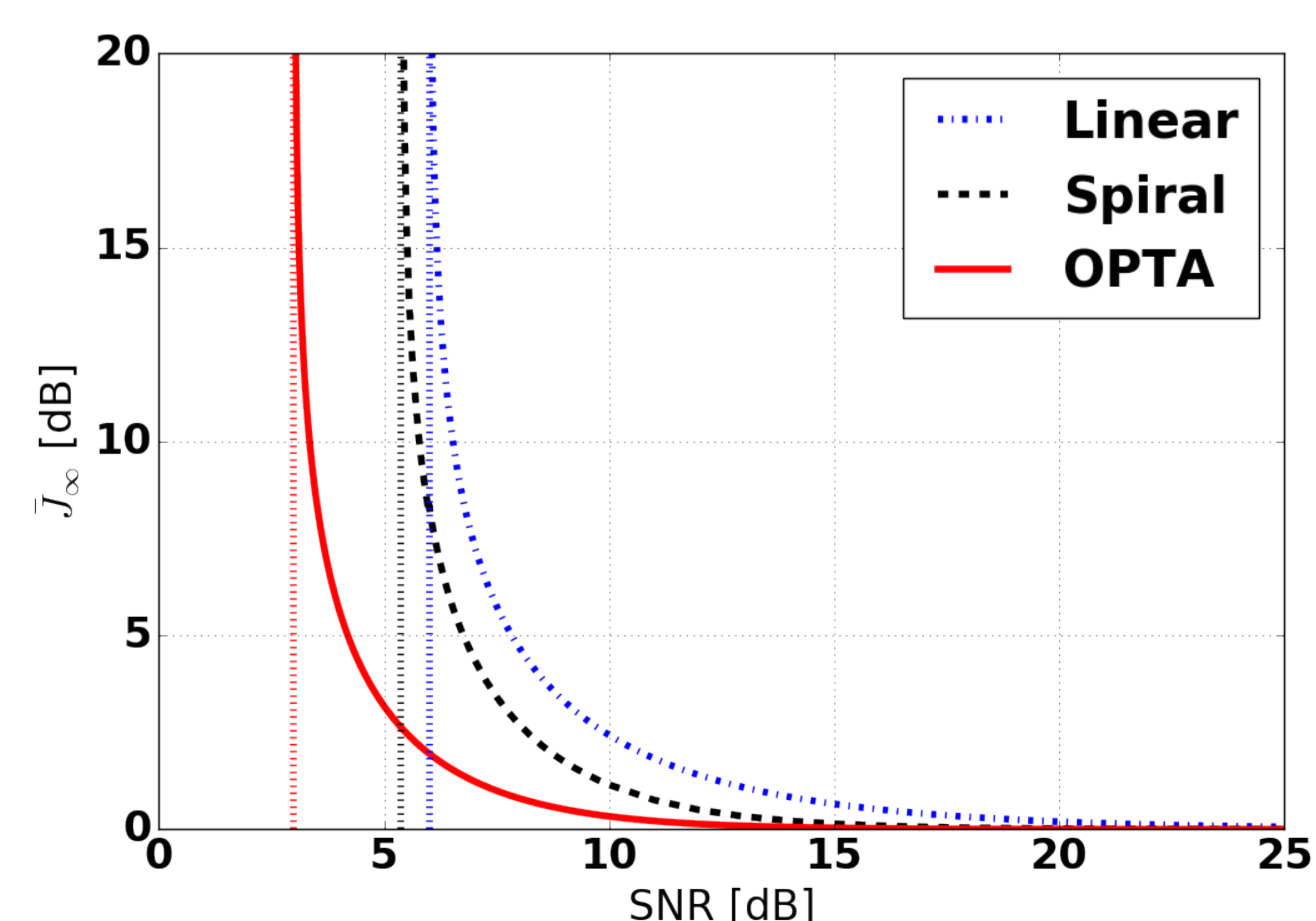
▶ Log-concave PDF \searrow
Lloyd-Max Alg. optimal!

Performance Comparison

▶ $\alpha = 1.2, W_1 = 1, Q_t \equiv 1, R_t = 0$



▶ $\alpha = 3, W_1 = 1, Q_t \equiv 1, R_t = 0$



- ▶ **Higher-order moments:** Separation-based schemes fail!
- ▶ Analog maps can stabilize **all moments** and guarantee **a.s. stability!**
- ▶ Better curves can be devised

Joint Source-Channel Analog Code Design

▶ N Gaussian channel uses per one control sample

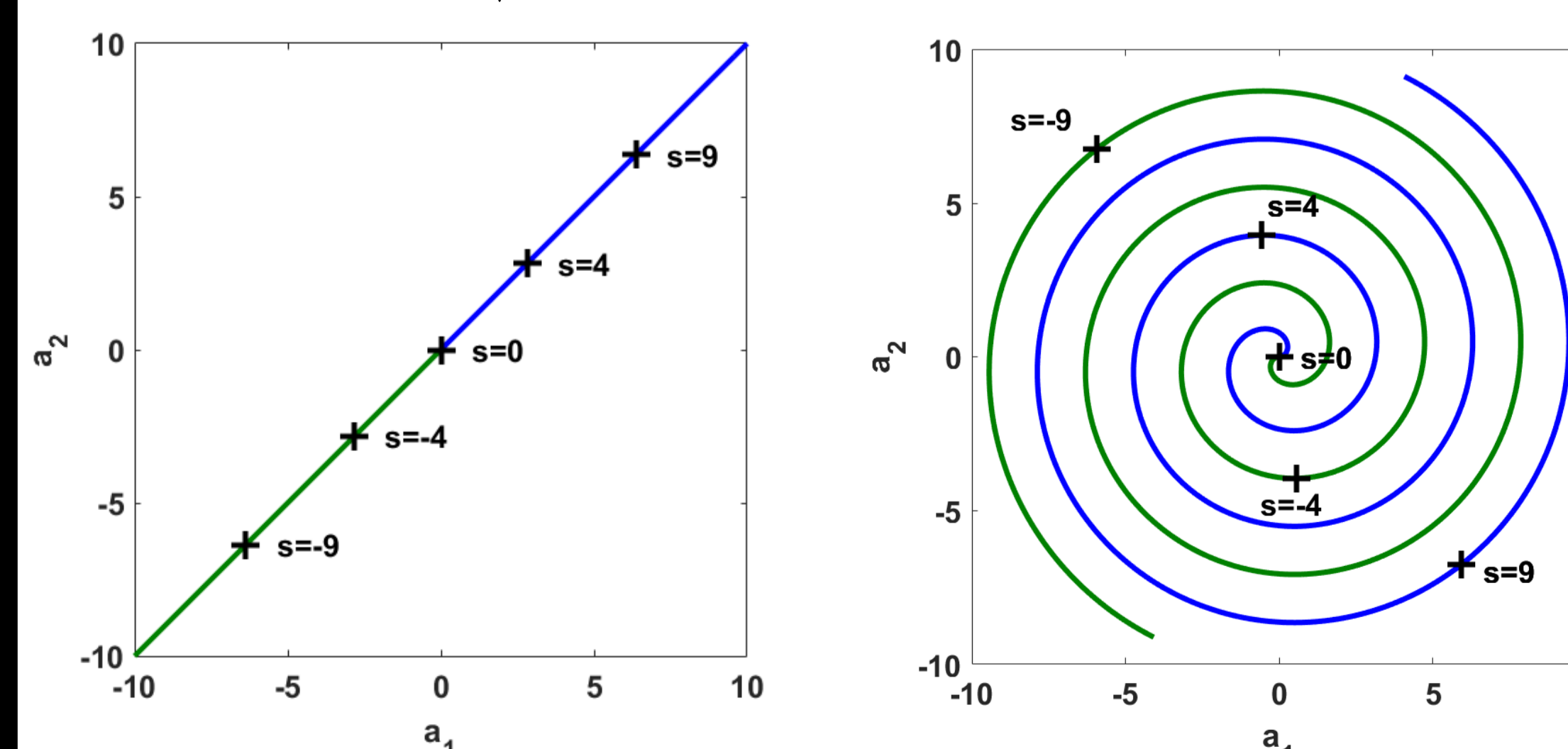
Rate match ($N = 1$): One channel use per one control sample

- ▶ **Linear analog transmission is optimal:** $a_t = x_t$
▷ Equality up to power adjustment (=known constant)
- ▶ **Conclusion:** No coding is needed!

Rate mismatch: $N = 2$ channel uses per one control sample

- ▶ Linear scheme gains nothing over $N = 1$ case: $\text{SNR}^{\text{eff}} = 2\text{SNR}$ \odot
- ▶ Info.-theoretic asymptopia (delay $\rightarrow \infty$): $1 + \text{SNR}^{\text{eff}} = (1 + \text{SNR})^2$

$$\begin{cases} a_1(s) = \frac{1}{\sqrt{2}}s \\ a_2(s) = \frac{1}{\sqrt{2}}s \end{cases} \quad \begin{cases} a_1(s) = s \cos(2s) \\ a_2(s) = s \sin(2s) \text{sign}(s) \end{cases}$$



- ▶ Different parametrization (pre-map) further helps: $s \rightarrow |s|^\beta$
- ▶ **Achieves $\text{SNR}^{\text{eff}} \propto \text{SNR}^2 \Rightarrow$ Better stabilizability and cost**

Channel Anytime-Reliable Tree Codes

How to generate a good code?

- ▶ Tree codes proved to exist [Schulman IT'96]
▷ Non-constructive proof
- ▶ $P_e(t, d)$ - First-error prob. d steps back, at time t
- ▶ Has to drop faster than a^{2d} [Sahai-Mitter IT'06]:
 $P_e(t, d) < A2^{-(2\log \alpha + \epsilon)d}, \quad \forall t, \forall d$
- ▶ Important step towards practicality \Rightarrow exist w.h.p. [Sukhavasi-Hassibi AC'16]

How to decode?

- ▶ Optimal decoding is difficult $\sim O(2^N)$
- ▶ Sequential decoding [Wozencraft '57]
- ▶ Guarantees [Jelinek's Book '68]
- ▶ **Guarantees w.h.p.** [Kh.-Halbawi-Hassibi ISIT'16]

Universality [Kh.-Halbawi-Hassibi, submitted IT'17]

▶ Guarantees w.h.p. \Rightarrow Universality w.r.t. channel

