Illumination induced charge separation at tetraphenyl-porphyrin/metal oxide interfaces

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Charge separation processes were studied at tetraphenyl-porphyrin (H$_2$TPP)/metal oxide interfaces by surface photovoltage spectroscopy. The thickness of the depleted Ti and Sn oxide layers was about 2 nm. Acceptorlike interface states play a crucial role in the steady-state light-induced negative charging of H$_2$TPP surface layers as well as in tunneling or light-induced discharging. The results indicate that steady-state negative charging of the H$_2$TPP layer is induced by preferential recombination of holes at the (H$_2$TPP)/metal oxide interface and depends only weakly on temperature. The modulated surface photovoltage is dominated by hole transport at low temperatures and by electron transport at higher temperatures. The activation energy of the latter is of the order of 0.4 eV. © 2007 American Institute of Physics. [DOI: 10.1063/1.2777200]

INTRODUCTION

Understanding the charge separation processes in conducting organic layer/metal oxide interfaces is essential since metal oxides are used as conducting transparent electrodes in organic optoelectronics devices and as semiconducting substrates in organic-based sensors and solar cells. In order to understand the basic charge separation processes and exciton dissociation paths, a deeper understanding of the interface electronic structure is needed. Due to the very low drift velocities, which are usually of the order of $10^{-3}$–$10^{-7}$ cm$^2$/V s, insight into the charge transport properties is also essential for subsequent charge separation.

Organic/metal interfaces are fairly well studied. Charge exchange at the interface results in interface dipoles and a band bending at the interface in some systems. Interfaces with metal oxides introduce additional complexity, so charge transfer between the organic layers and the substrate surface states has to be considered. Additionally, mainly oxygen, but also metal atoms, may diffuse from the substrate to the organic film, altering the interface electronic structure. Nakanishi et al. found that electron transfer from the conducting polymer to indium tin oxide (ITO), In$_2$O$_3$, and SnO$_2$ substrates occurred at the interfaces. Although the origin of this electron transfer is not clear, the authors speculate that the surface states of the substrate play a major role. This result suggests that metal oxide substrates characteristically attract electrons out of the conducting polymers without the application of an electric field and interfacial band bending may be formed. Moreover, electron transfer at these interfaces is enhanced at higher temperatures. The exact interface electronic structure is not fully understood. For instance, it is not clear whether the Fermi levels of the conducting metal oxide and the organic highest occupied molecular orbital (HOMO) are aligned or whether alignment exists within the HOMO-LUMO (lowest unoccupied molecular orbital) gap.

Charge separation mechanisms at interfaces with thin conducting layers can be characterized at high sensitivity by surface photovoltage spectroscopy (SPS) using a Kelvin Probe (KP). Few SPS studies characterized the charge separation at organic layer/metal oxide (H$_2$TPP/metal oxide) interfaces, all of them using common inorganic physical concepts, such as surface and interface band bending, to explain the observed surface photovoltage (SPV) signal. Recently, we showed the complexity of analyzing the SPV signal of organic thin layers. The obtained surface photovoltage at the interfaces of thin free-base tetraphenylporphyrin (H$_2$TPP) and Au is a summation of two main SPV mechanisms, i.e., internal photoemission from the Au substrate and exciton dissociation at the H$_2$TPP interface. However, the point that organic layers might need a different SPS analysis than the classic for inorganic semiconductors is not fully stressed for metal oxide/organic interfaces.

In this study, charge separation processes at interfaces between H$_2$TPP and SnO$_2$:F (denoted by FTO) or TiO$_2$ substrates are characterized using SPS and KP transients at different temperatures and ambients. H$_2$TPP is synthetic porphyrin derivative with several absorption bands: the Soret band around 2.9 eV and the Q bands at about 2.4, 2.2, 2.1, and 1.9 eV. The 1.9 eV transition is considered as the HOMO-LUMO optical band gap. Since all the H$_2$TPP transitions are below the TiO$_2$ and the FTO band gaps, charge transfer to the organic layer that may follow the band-to-band transition in the substrate is avoided.

EXPERIMENT

Organic H$_2$TPP layers (thickness 75 nm) were evaporated (sublimation temperature: 588 K) on glass substrates coated with a conducting oxide layer (SnO$_2$:F, resistance:
was practically identical to the second one. The slit of the monochromator was opened after three points of the spectrum that were measured in the dark. After opening the slit of the monochromator, the contact potential difference started to increase immediately. The increase of $\Delta$CPD points to a light-induced increase of negative charge near the surface of the sample, which is treated as negative charging of the H$_2$TPP layer within this work. The value of $\Delta$CPD is further increased between 1.7 and 1.8 eV, at the onset of the HOMO-LUMO gap absorption. Absorption peaks related to transitions into $Q_{x,0}, Q_{y,0}$, and $Q_{y,1}$, denoted here for simplicity as $Q_2$, $Q_3$, and $Q_4$ transitions, in the H$_2$TPP layer can be identified at 2.05, 2.21, and 2.36 eV, respectively. The value of $\Delta$CPD increases again strongly with the onset of the absorption related to transitions into the Soret band of the H$_2$TPP.

After resetting the photon energy of the exciting light back to 0.8 eV, $\Delta$CPD decreased by about 10 mV, which was not significant in comparison to the value obtained after overnight relaxation in the dark. Well into the second scan, $\Delta$CPD continued to decrease due to ongoing discharging of the H$_2$TPP layer. At photon energies of about 1.5 eV, the discharging process became more significant, indicating that discharge of the H$_2$TPP layer can be assisted by light with photon energies higher than about 1.5 eV. The discharge of the H$_2$TPP stopped abruptly at the photon energy corresponding to the absorption threshold due to HOMO-LUMO transitions in the H$_2$TPP layer.

The shapes of the initial and second scans were identical at photon energies higher than 1.9 eV. This can be clearly seen if the derivatives of both spectra are compared with each other (inset of Fig. 1). The peaks of the derivatives related to the $Q_2$, $Q_3$, $Q_4$, and Soret transitions are in excellent agreement with those of the derivative of the absorption spectrum. The peak of the $Q_1$ transition $[Q_1(0,0)]$ is masked by charging and discharging processes that can be related to the influence of interactions with interface states at organic/metal oxide heterojunctions.

The kinetics of charging and discharging of the H$_2$TPP layer below the HOMO-LUMO transition strongly depend on the temperature. This is demonstrated by the $\Delta$CPD spectra shown in Fig. 2 for the second scans of the H$_2$TPP/FTO sample. At lower temperatures, the light-assisted discharging dominates the $\Delta$CPD spectra at photon energies below 1.7 eV, whereas negative charging takes place at higher temperatures (above 140 °C). This shows that the discharging process of the H$_2$TPP layer accelerates with increasing temperature.

In air, the H$_2$TPP layer is negatively charged even after relaxation overnight (see spectra in Fig. 3). The $\Delta$CPD spectra are quite similar for H$_2$TPP/FTO and H$_2$TPP/TiO$_2$/Ti samples. However, in vacuum, the $\Delta$CPD spectra of the H$_2$TPP/TiO$_2$/Ti are more smeared out, i.e., changes in the TiO$_2$ surface states become dominant. It was found that surface states related to oxygen vacancies at TiO$_2$ surfaces were passivated in air and low electron injection yields were obtained at TiO$_2$ interfaces. In air, the light-assisted discharging process starts at photon energies of about 1.4 eV (H$_2$TPP/FTO) or 1.3 eV (H$_2$TPP/TiO$_2$/Ti) and stops.
abruptly when the HOMO-LUMO transition sets in. For H$_2$TPP/TiO$_2$/Ti, the light-induced changes of ΔCPD are stronger than for H$_2$TPP/FTO and the characteristic features of the Q$_3$, Q$_4$, and Soret transitions are not as well pronounced. Additional transitions may appear (e.g., the additional discharging at photon energies of about 2 eV).

The type of doping cannot be explicitly determined from these SPS measurements since charging processes in the organic layer cannot be treated simply as charge separation in a depletion layer. Charge separation is also determined by illumination and ambient induced internal potential distribution as well as by charge separation mechanisms. Thus, interface states play a key role in charging and discharging of the H$_2$TPP surface layer. It is practically impossible to avoid any permanent charging during the spectroscopy measurements, and the light-induced charging rather than thermodynamic equilibrium built-in fields is mainly deduced from the SPS data of organic/metal oxides interfaces.

**Negative charging and discharging mechanisms**

Acceptorlike surface states (negatively charged when populated) are assumed at the metal oxide surfaces. On the one hand, the surface states interact with the metal or the highly conductive electrode via a very thin layer so that tunneling is possible. On the other hand, due to charge exchange at the interface, the surface states are also coupled to the H$_2$TPP layer. This situation is sketched in Fig. 4(a). The initial scan of the H$_2$TPP/FTO sample shows that surface charging can occur already at photon energies in the near infrared region. The negative charging is enhanced at photon energies of about 1.5–1.6 eV. The initial negative charging is related to surface states–Q bands transitions and, probably, the enhanced charging is the transition to the Soret band, the higher absorption band. The Soret band transition onset is at about 2.6 eV. Therefore, these observations indicate that the surface Fermi level position is, at most, about 1 eV above the HOMO level of the H$_2$TPP.

If Fermi level alignment exists at the interface, then the 1 eV value may indicate the Fermi level energy position in the H$_2$TPP layer. The Fermi level was estimated at about 0.6 eV above the HOMO level of H$_2$TPP by Harima et al. Additionally, a 0.8 eV gap between the HOMO level and the Fermi level of a Au substrate was measured assuming Fermi level alignment at the H$_2$TPP/Au interface. Therefore, it is reasonable to deduce that the Fermi level–HOMO gap is less than 1 eV. Due to the broad distribution of interface states, the measurement sensitivity, charging effects, and competing SPV mechanisms, it is difficult to estimate the HOMO–Fermi level gap at the H$_2$TPP/FTO interface from the SPV spectra.

During illumination with photon energies above the HOMO-LUMO gap, negative charging is induced by dissociation of excitons at the H$_2$TPP/FTO interface and preferential recombination of holes at surface states [processes 1 and 2 in Fig. 4(b)]. At lower photon energies, negative surface charging is also possible due to electron injection from interface states into H$_2$TPP [processes 3 and 4 in Fig. 4(b)]. Simultaneously, photoelectrons can also be injected from interface states into the metal oxide [process 6 in Fig. 4(c)]. The change of the net charge depends on the change of the transition probability of electrons from interface states into the H$_2$TPP, which depends on the amount of accumulated negative charge in the H$_2$TPP layer and on the
dependence of the surface layer is negatively charged. Figure 5 compares the time
dependence of the contact potential differences as a function of time for H$_2$TPP/FTO
measured at different temperatures in the dark and under illumination with a
red LED.

local field distribution. For example, oxygen is a known acceptor-like dopant, and negatively charged oxygen dominates the field distribution of H$_2$TPP deposited in air. Electron transfer from surface states into the metal oxide drives discharging processes. Besides this light-induced discharging process, thermally assisted tunneling should also be considered as a discharging process driven by electrons from polaronic states in the H$_2$TPP layer via surface states into the metal oxide layer [process 5 in Fig. 4(c)].

Modulation of hole and electron transport

The red light of the LED used in this work is mainly absorbed by the Q$_2$ transition in the H$_2$TPP layer. Under slow light intensity modulation of the red LED, the H$_2$TPP layer is negatively charged. Figure 5 compares the time dependence of the surface ΔCPD for H$_2$TPP/FTO interfaces measured at different temperatures in the dark and under illumination, with a red LED blinking at about 0.03 Hz. At low temperatures (below 120 °C), the value of ΔCPD decreases under illumination, i.e., the modulation of positive charge is detected. This shows that, besides the negative net charging of the H$_2$TPP layer, there is a certain probability of the holes to escape from the interface region, where the excitons dissociate. The value of ΔCPD saturates within several seconds under illumination, and the light-induced change of ΔCPD depends only weakly on the temperature. The discharging process of the positive charge in the dark is significantly slower than the escape of holes from the interface region.

At temperatures above 140 °C, the light-induced ΔCPD changes sign, i.e., modulation of negative charging becomes important. However, ΔCPD still increases after switching off the light within 1–2 s, and decreases for longer times (overshoot). The further increase of ΔCPD after switching off the red LED is caused by the disappearance of positive charge from the H$_2$TPP layer, which is much faster than the disappearance of the negative charge. With increasing temperature, the light-induced increase of ΔCPD increases and the

overshoot induced by hole recombination decreases. At the same time, the discharging process in the dark becomes much faster with increasing temperature. The overall amount of modulated positive charge decreases with increasing temperature because the recombination rate increases with temperature. Furthermore, the electron transfer from the H$_2$TPP towards the metal oxide layer is thermally activated. It is interesting to note that the value of ΔCPD saturates within 2 s, which is much shorter than the discharging time. The observation that saturation is reached after 2 s at any temperature suggests that the charge separation processes takes place mainly in close proximity to the interface and not at the front surface. This observation is consistent with the findings of Harima et al. who reported that the time response SPV for a given organic/oxidized metal interface is similar to that of a photovoltaic cell having the corresponding organic/metal junction.

The modulated SPV signal can be obtained from the ΔCPD measurement as a function of time under the 0.03 Hz illumination modulation (SPV=ΔCPD$_{light}$−ΔCPD$_{dark}$). The temperature dependence of the SPV signal is shown in Fig. 6(a) for the H$_2$TPP/FTO and H$_2$TPP/TiO$_2$/Ti samples. For the H$_2$TPP/FTO sample, the SPV changes sign from about +30 to −30 mV between 120 and 210 °C. For the H$_2$TPP/TiO$_2$/Ti sample, the SPV increases with increasing temperature (up to about 120 °C) and decreases with further increasing temperature. However, the sign of the SPV does not change for the H$_2$TPP/TiO$_2$/Ti sample.

Activation energies of dominant processes may be estimated if a common base line is considered. For the sake of simplicity, it is assumed that the positive charging is independent of temperature and that negative charging increases
with increasing temperature. Therefore, the base line is taken at about 30 mV. The resulting Arrhenius plots are shown in Fig. 6(b). For the H$_2$TPP/TiO$_2$/Ti sample, there is a single thermally activated process at the higher temperatures with an activation energy of about 0.4 eV. For the H$_2$TPP/FTO sample, there are at least two thermally activated processes with activation energies of about 0.2 and 0.5 eV, respectively. The origin of the activation energies is not clear at present. The higher activation energy may be related to transport phenomena, since the minimum energy for the formation of a pair of separated free electron and hole was found to be at least 0.4 eV$^{23}$, but it may also be due to discharging effects.

CONCLUSIONS

Negative charging of H$_2$TPP layers strongly affects their SPV spectra measured using a Kelvin probe. This is because of the quasi-steady-state nature of the measurements. Unlike classic SPV analysis of inorganic semiconductors, the illumination induced charging rather than any built-in fields in the dark can be mainly studied using SPS. For organic layer/metal oxide (H$_2$TPP/metal oxide) interfaces, charge exchange through acceptorlike interface states plays an important role in the light-induced charging and discharging processes. The H$_2$TPP transitions in the SPV spectra increase as the layer is more negatively charged due to increased hole recombination, mainly at the interface. Below 140 °C, the constant negative charging depends weakly on temperature and only hole transport can be modulated. Above 140 °C, electron transport has a stronger effect as the negative charging can be modulated and the discharging processes are enhanced. Activation energy of about 0.4–0.5 eV has been found, which may be related to transport phenomena. The Fermi level of the FTO substrate is, at most, 1 eV above the HOMO level of H$_2$TPP.

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